

Eastern Learning Alliance Safer Recruitment Policy

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Eastern Learning Alliance

Safer Recruitment Policy

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Document Control

New Version Number	Key changes from previous version	Date of ratification by Trust Board
V2	Updated in line with KCSIE 2023	September 2023

1. Introduction

- 1.1. Eastern Learning Alliance is committed to safeguarding and promoting the welfare of children and young people and requires all employees and volunteers to demonstrate this commitment in every aspect of their work.
- 1.2. The appointment of all employees will be made on merit and in accordance with the provisions of Employment Law, Keeping Children Safe in Education and the School's Equality and Diversity Policy.
- 1.3. We will ensure that people are treated solely on the basis of their abilities and potential, regardless of race, colour, nationality, ethnic origin, religious or political belief or affiliation, trade union membership, age, gender, gender reassignment, marital status, sexual orientation, disability, socio-economic background, or any other inappropriate distinction.
- 1.4. We will comply with the requirements of Keeping Children Safe in Education with regard to DBS and other pre-employment checks.
- 1.5. We will ensure compliance with the Data Protection regulations and the more stringent requirements contained within the General Data Protection Regulations (GDPR). The GDPR encompasses the core principles of the DPA and provides more onerous responsibility and accountability for fair and transparent processing.

2. Delegation of Appointments and Constitution of Appointments Panels

- 2.1. The power to offer employment for all posts below the level of Deputy Head is delegated to the Head of Academy. The Head of Academy may not delegate the offer of employment to any other senior manager or governor.
- 2.2. The Head of Academy will lead on all recruitment below the level of Deputy Head. When recruiting into Senior Leadership roles, the Head of Academy will involve the CEO and where appropriate, at least one governor
- 2.3. Shortlisting panels will comprise a minimum of two people (normally three). In accordance with the statutory requirement, every shortlisting panel will have at least one member who has undertaken Safer Recruitment Training. In addition, at least one member will have undertaken general recruitment or equalities training (it is recommended that those who shortlist carry out the interview for a consistent approach).

3. Advertising

- 3.1. All vacant posts will be advertised to ensure equality of opportunity and encourage as wide a field of candidates as possible. This will normally mean placing an advertisement externally. However, where there is a reasonable expectation that there are sufficient, suitably qualified internal candidates, or employees are at risk of redundancy, vacancies may be advertised internally before an external advertisement. In these circumstances, the shortlisting panel may decide that certain parts of the recruitment process may be omitted but all candidates will be subject to a formal interview, the satisfactory reference requirements and any other necessary checks.
- 3.2. The advert will include the level of DBS check required depending on the role being recruited for and whether the role will involve regulated activity.
- 3.3. Whether the post is exempt from the Rehabilitation of Offenders Act 1974 and the amendments to the Exceptions Order 1975, 2013 and 2020. Which means certain spent convictions and cautions are 'protected', so they do not need to be disclosed to employers. If they are disclosed, they will not be taken into account.
- 3.4. All adverts state the requirement for a certificate of good conduct where applicable, in addition, for teaching posts, a letter of professional standing from the professional regulating authority in the country in which the applicant has worked.
- 3.5. Within the advert the applicant will be provided with the school's policy and practice in relation to safeguarding and promoting the welfare of children.

4. Information for Applicants

- 4.1. All applicants for all vacant posts will be provided with:
 - A job description outlining the duties of the post and an indication of where the post fits into the organisational structure of the School
 - A person specification may also be provided.
 - An application form (CVs will not be accepted)
 - An Information pack containing:
 - A description of the School relevant to the vacant post
 - Reference to the School's Equality and Diversity Policy
 - Reference to the Child Protection/Safeguarding Policy
 - DBS and other pre-employment checks required
 - A statement that canvassing any employee, or member of the Governing Body, directly or indirectly, is prohibited and will be considered a disqualification

- The closing date for the receipt of applications
 - An outline of the terms of employment including salary
 - Reference to the School's Recruitment and Selection Policy
- 4.2 Application forms will include a statement saying that it is an offence to apply for the role if an applicant is barred from engaging in regulated activity relevant to children (where the role involves this type of regulated activity).

5. Short Listing and Reference Requests

- 5.1. The shortlisting panel will use an agreed shortlisting form. The criteria for selection will be consistently applied to all applicants based on the essential and desirable criteria for the post. The shortlisting panel will agree the candidates to be called for interview.
- 5.2. The shortlisting panel will take up at least two references on each short listed candidate. If a candidate for a post working with children is not currently working with children, a reference will be sought from the most recent employment working with children to confirm details of their employment and their reasons for leaving. One reference will be from the applicants current employer and must be completed by a senior person (school references must be completed by the Headteacher). Reference requests will ask the referee to confirm:
- The referee's relationship with the candidate
 - Details of the applicant's current post and salary
 - Performance history
 - All formal time-limited capability warnings which have not passed the expiration date
 - All formal time-limited disciplinary warnings where not relating to safeguarding concerns which have not passed the expiration date
 - All disciplinary action where the penalty is "time expired" and relate to safeguarding concerns
 - Details of any substantiated allegations or concerns relating to the safety and welfare of children
 - Whether the referee has any reservations as to the candidate's suitability to work with children. If so, the School will ask for specific details of the concerns and the reasons why the referee believes the candidate may be unsuitable to work with children
- 5.3. References are the "property" of the shortlisting panel and strict confidentiality will be observed. Employer testimonials or 'bearer references' i.e. those provided by the candidate and/or marked 'to whom it may concern' will not be accepted. References must be in writing and be specific to the job for which the candidate has applied. The

shortlisting panel will not accept references from relatives or people writing solely in the capacity as a friend of the candidate. References will be verified, and any discrepancies will be discussed with the candidate at interview. Electronic references will be checked to ensure they originate from a legitimate source.

- 5.4. References will be checked against information on the application; any discrepancy/issue of concern noted to take up with applicant at interview. The school will contact referees to clarify where information is vague or insufficient information is provided
- 5.5. On receipt, equality monitoring information must be separated from applications.
- 5.6. If the field of applicants is felt to be weak the post may be re-advertised.
- 5.7. All shortlisted candidates will be required to complete a self-declaration of their criminal record or information that would make them unsuitable to work with children. For example:
 - If they have a criminal history
 - whether they are included on the barred list
 - whether they are prohibited from teaching
 - whether they are prohibited from taking part in the management of an independent school
 - information about any criminal offences committed in any country in line with the law as applicable in England and Wales, not the law in their country of origin or where they were convicted
 - if they are known to the police and children's social care
 - have they been disqualified from providing childcare (Childcare Disqualification Regulations 2018)
 - any relevant overseas information

Short-listed candidates will then have the opportunity to discuss this information at interview.

- 5.8 Applicants will be asked to sign a declaration confirming the information they have provided is true. Where there is an electronic signature, the shortlisted candidate will be asked to physically sign a hard copy of the application at the point of interview.
- 5.9 We will also consider carrying out an online search on shortlisted candidates to help identify any incidents or issues that are publically available online. Shortlisted candidates will be informed that we may carry out these checks as part of our due diligence process

6. Interviews

6.1. The format, style and duration of the interviews are matters for the Head of School to decide in consultation with any governors [or trustees] involved in the process but the following will be adhered to:

6.1.1 Briefing:

All candidates will be given relevant information about the School to enable the candidate to make further enquiries about the suitability of the advertised job.

6.1.2 The formal interview:

Before the interviews the selection panel will agree on the interview format including any other assessment methods. The questions asked will be aimed at obtaining evidence of how each candidate meets the requirement of the job description and the person specification and each candidate will be assessed against all of the criteria for the post. The same areas of questioning will be covered for each applicant and no questions which would discriminate directly or indirectly on protected characteristics under the Equality Act 2010 will be asked.

The selection process for every post, will include exploration of the candidate's understanding of child safeguarding issues. Questions will include:

- Finding out what attracted the candidate to the post being applied for and their motivation for working with children
- Exploring their skills and asking for examples of experience of working with children which are relevant to the role
- Probing any gaps in employment or where the candidate has changed employment or location frequently, asking for the reasons for this

The interview will also explore potential areas of concern to determine the applicant's suitability to work with children. Areas that may be concerning and lead to further probing include:

- Implication that adults and children are equal
- Lack of recognition and/or understanding of the vulnerability of children
- Inappropriate idealisation of children
- Inadequate understanding of appropriate boundaries between adults and children
- Indicators of negative safeguarding behaviours

Any information about past disciplinary action or allegations will be considered in the circumstances of the individual case.

The interview will also include a discussion of any convictions, cautions or pending prosecutions, other than those protected, that the candidate has declared and are relevant to the prospective employment.

The recruitment documentation will be retained for six months from the date of interview. Applicants have the right to request access to notes written about them during the recruitment process. After six months all information about unsuccessful candidates will be securely destroyed.

7. Offer of Employment by the Selection Panel

7.1. The offer of employment by the selection panel and acceptance by the candidate is binding on both parties subject to:

- Verification of identify (including Birth Certificate)
- Verification of right to work in the UK
- Proof of relevant qualifications
- Satisfactory DBS Enhanced Disclosure
- Barred list check
- Teacher prohibition (if applicable)
- Section 128 check (if applicable)
- Pre-employment medical screening
- Satisfactory references
- Disqualification under the Childcare Disqualification Act 2006, as amended
- The successful candidate will be informed, normally by offer letter, that the appointment is subject to satisfactory completion of these checks
- Additional check, as appropriate, on candidates who have lived or worked outside the UK, These could include, where available:
 - A Certificate of Good Conduct (if applicable) which may include EEA sanctions and restrictions.
 - For teaching positions – obtaining a letter from the professional regulating authority in the country where the applicant has worked (evidence of professional standing), confirming their status as a teacher. Ideally this document should also confirm that the applicant’s authorisation to teach has never been suspended, barred, cancelled, revoked, or restricted and that they have no sanctions against them.

7.2. Unsuccessful candidates will be notified

8. Personnel File and Single Central Record

8.1. Recruitment and selection information for the successful candidate will be retained securely and confidentially for the duration of their employment with the School including:

- Application form – signed by the applicant
- Interview notes – including explanation of any gaps in the employment history
- References – minimum of two
- Proof of identity (including Birth certificate)
- Proof of right to work in the UK
- Proof of relevant qualifications
- Certificate of Good Conduct (where applicable) which may include for teaching positions, a letter of professional standing from the professional regulating authority in the country (or countries) in which the applicant has worked
- Evidence of medical clearance from the Occupational Health service
- Evidence of DBS clearance and barred list check
- Teacher prohibition checks (where applicable)
- Evidence of a Section 128 direction (where applicable)
- Offer of employment letter and signed contract of employment
- Disqualification under the Childcare Disqualification Act 2006, as amended (where applicable)

8.2 For agency and third-party staff, we will obtain written notification from any agency or third party organisation that it has carried out the necessary safer recruitment check that we would otherwise perform. We will also check that the person presenting themselves for work is the same person on whom the checks have been made.

8.3 For contactors, we will ensure that the contractor, or any employees of the contractors, who is to work at the school has had the appropriate level of DBS check. This will be:

- An enhanced DBS check with barred list information for contractors engaging in regulated activity
- An enhanced DBS check, not including barred list information, for all other contactors who are not in regulated activity but whose work provides them with an opportunity for regular contact with children.

8.4 For contractors who are self-employed, we will obtain the DBS check.

- 8.5 Contractors who have not had any checks will not be allowed to work unsupervised or to engage in regulated activity under any circumstances.
- 8.6 We will check the identity of all contractors and their staff on arrival at the school.
- 8.7 For trainee/student teachers we will obtain written confirmation from the training provider that necessary checks have been carried out and that the trainee has been judged by the provider to be suitable to work with children.

9. Record retention/data protection

- 9.1. The Trust will retain all interview notes taken for candidates for a 6-month period, after which the notes for all but the successful candidates will be shredded. The 6-month period will allow the Trust to deal with any access requests, recruitment complaints or complaints of discrimination raised in the Employment Tribunals.
- 9.2. Retention of personal information for the successful candidate following the end of their employment will be in accordance with the School's Data Retention Policy, which is compliant with relevant Data Protection Act. When retained documents have reached their data retention limit, they will be securely destroyed.
- 9.3. The School will destroy information obtained by a vetting exercise as soon as possible or within six months. A record of the result of the vetting or verification of the successful candidate will be retained on the employees file and the Single Central Record.
- 9.4. The School will normally collect personal information from you only where we have your consent to do so, where we need the personal information to perform a contract with/involving you, or where the processing is in our legitimate interests and not overridden by your data protection interests or fundamental rights and freedoms. In some cases, we may also have a legal obligation to collect personal information from you or may otherwise need the personal information to protect your vital interests or those of another person. The School will retain a record of consent as evidence that we have obtained consent to collect and process the data and that applicants have been advised of the purpose of the collection and processing.
- 9.5. You have the right to withdraw your consent at any time and can do so by informing the School's Data Protection Officer, with the exception of documents that are required for a statutory requirement.
- 9.6. The School will maintain a Single Central Record of employment checks in accordance with Keeping Children Safe in Education.

10. Start of Employment and Induction

- 10.1. The pre-employment checks listed in paragraph 7.1 above must be completed before the employee starts work. Exceptions will only be made in circumstances where a risk assessment has been undertaken. Exceptions will never be made in the case of the barred list and teacher prohibition checks.
- 10.2. All new employees will be provided with an induction programme which will cover all relevant matters of School policy but in particular safeguarding and promoting the welfare of children, this will include the:
- Child protection policy which will include amongst other things the policy and procedures to deal with child on child abuse
 - Behaviour policy (which includes measures to prevent bullying, including cyberbullying, prejudice-based and discriminatory bullying)
 - Staff behaviour policy (also known as code of conduct)
 - safeguarding response to children who go missing from education
 - role of the designated safeguarding lead (including the identity of the designated safeguarding lead and deputies)
 - Acceptable use agreement within the Online Safety Policy

Copies of all policies and a copy of Part one or Annex A of KCSIE, if appropriate will be provided to all staff at induction